

## Pension Fund Committee

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**Title:** Breaches of the Law

**Date:** Friday 13 September 2019

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**Local members affected:** N/A

*For press enquiries concerning this report, please contact the media office on 01296 382444*

### Summary

The Procedure for Reporting Breaches of the Law (Appendix 1) was approved by the Pension Fund Committee in September 2018. The Procedure complies with the requirements of the Pensions Act 2004 and The Pensions Regulator's Code of Practice. The Procedure details how individuals responsible for reporting and whistleblowing can identify, assess and report (or record if not reported), a breach of law relating to the Buckinghamshire County Council Pension Fund. The Breach Log at Appendix 2 to this report, details the breaches recorded for 2018/19 and until 31 August 2019 for this year.

### Recommendation

**The Committee is asked to NOTE the content of this report and COMMENT on the breaches log.**

**Supporting information to include the following if a decision is being requested:**

#### **Resource implications**

N/A

#### **Legal implications**

The Procedure details how individuals responsible for reporting and whistleblowing can identify, assess and report (or record if not reported) a breach of law relating to the Buckinghamshire County Council Pension Fund. It aims to ensure individuals

responsible are able to meet their obligations and avoid placing any reliance on others to report. The Procedure will also assist in providing an early warning of possible malpractice and reduce risk.

**Other implications/issues**

N/A

**Feedback from consultation, Local Area Forums and Local Member views (if relevant)**

N/A

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**Background Papers**

<http://www.legislation.gov.uk/ukpga/2004/35/contents>

<http://www.legislation.gov.uk/ukpga/2013/25/contents>

<https://www.thepensionsregulator.gov.uk/en/document-library/codes-of-practice/code-14-public-service-pension-code-of-practice>

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